

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : de Cupere et al.  
Appl. No. : 10/588,865  
Filed : June 8, 2007  
For : PHTHALOCYANINE  
DERIVATIVES, THEIR USE AS  
HOMEOTROPICALLY ALIGNED  
LAYER IN ELECTRONIC  
DEVICES AND METHOD FOR  
THE MANUFACTURING  
THEREOF  
Examiner : Unknown  
Group Art Unit: 1751

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August 30, 2007

(Date)

Marina L. Gordey, Reg. No. 52,950

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Office of Initial Patent Examination  
Customer Service Center  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the following: please reverse the names of the second and third inventors to "JULIEN TANT" and "YVES GEERTS". For your convenience, a copy of the Declaration/Power of Attorney is attached whereby the last name of each inventor is capitalized.

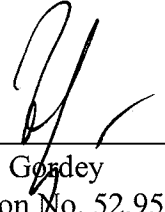
**Appl. No.** : 10/588,865  
**Filed** : June 8, 2007,

We look forward to receiving the corrected filing receipt in due course.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: August 30, 2007

By:   
Marina L. Gordy  
Registration No. 52,950  
Agent of Record  
Customer No. 20,995  
(805) 547-5580

4194066:vo  
082707

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/588,865	06/08/2007	1751	1030	VANM309.001APC	9	1

**CONFIRMATION NO. 4095**

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614

**FILING RECEIPT**

\*OC000000024487053\*

Date Mailed: 06/22/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Julien Tant Vinciane De Cupere, Court-Saint-Etienne, BELGIUM;  
Yves Geerts ~~Tant Julien~~, Brussels, BELGIUM;  
~~Geerts Yves~~, Brussels, BELGIUM;

**Power of Attorney:** The patent practitioners associated with Customer Number 20995.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/EP05/00556 01/18/2005

**Foreign Applications**

EUROPEAN PATENT OFFICE (EPO) 04447032.6 02/10/2004

**If Required, Foreign Filing License Granted:** 06/21/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/588,865**

**Projected Publication Date:** 09/27/2007

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Phthalocyanine Derivatives, Their use as Homeotropically Aligned Layer in Electronic Devices and Method for the Manufacturing Thereof

**Preliminary Class**

510

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**Declaration and Power of Attorney for Patent Application**

**Déclaration et Pouvoirs pour demandes de brevet**

COPY

**French Language Declaration**

En tant que l'inventeur nommé ci-après, je déclare par le présent acte que :

Mon domicile, mon adresse postale et ma nationalité figurant ci-dessous à côté de mon nom,

Je crois être le premier inventeur original et unique (si un seul nom est mentionné ci-dessous), ou l'un des premiers co-inventeurs originaux (si plusieurs noms sont mentionnés ci-dessous) du sujet revendiqué, pour lequel une demande de brevet a été déposée concernant l'invention intitulée :

et dont les caractéristiques sont fournies ci-joint à moins que la case suivante n'ait été cochée :

- ☐ a été déposé le  
sous le numéro de Demande des Etats-Unis ou  
sous le numéro de demande internationale  
PCT et modifiée le  
(le cas échéant).

Je déclare par le présent acte avoir passé en revue et pris connaissance du contenu des caractéristiques ci-dessus, revendications comprises, telles que modifiées par tout amendement dont il aura été fait référence ci-dessus.

Je reconnais de voir divulguer toute information pertinente à l'examen de cette demande, comme le définit le Titre 37, §1.56 du Code fédéral des réglementations,

As a below named inventor, I hereby declare that :

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**PHALOCYANINE DERIVATIVES, THEIR  
USE AS HOMEOTROPICALLY ALIGNED  
LAYER IN ELECTRONIC DEVICES AND  
METHOD FOR THE MANUFACTURING  
THEREOF.**

the specification of which is attached hereto unless the following box is checked :

- ☐ was filed on August 9, 2006 and received U.S.  
Serial No. 10/588,865  
as United States Application Number or PCT  
International Application Number  
PCT/EP2005/000556 filed on January 18,  
2005 and was amended on  
(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

**French Language Declaration**

Je revendique par le présent acte avoir la priorité étrangère, en vertu du Titre 35, § 119 du Code des Etats-Unis, sur toute demande étrangère de brevet ou certificat d'inventeur figurant ci-dessous et ai aussi pris connaissance de toute demande étrangère de brevet ou de tout certificat d'inventeur ayant une date de dépôt précédant celle de la demande à propos de laquelle une priorité est revendiquée.

Prior foreign applications

Demande(s) de brevet antérieure(s)

04447032.6 EP  
(Number) (Country)  
(Numéro) (Pays)

(Number) (Country)  
(Numéro) (Pays)

(Number) (Country)  
(Numéro) (Pays)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 120 du Code des Etats-Unis, de toute demande de brevet effectuée aux Etats-Unis figurant ci-dessous et, dans la mesure où le sujet de chacune des revendications de cette demande de brevet n'est pas divulgué dans la demande américaine préalable, en vertu des dispositions de premier paragraphe du Titre 35, § 112 du Code des Etats-Unis, je reconnais devoir divulguer toute information pertinente à la demande de brevet comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations, dont j'ai pu disposer entre la date de dépôt de la première demande et la date de dépôt de la demande nationale ou PCT internationale :

(Application Serial No.) (Filing date)  
(No. de série de la demande) (Date de dépôt)

(Application Serial No.) (Filing date)  
(No. de série de la demande) (Date de dépôt)

Je déclare par le présent acte que toute déclaration ci-incluse est, à ma connaissance, véridique et que toute déclaration formulée à partir de renseignements ou de suppositions est tenue pour véridique; et de plus, que toutes ces déclarations ont été formulées en sachant que toute fausse déclaration volontaire ou son équivalent est passible d'une amende ou d'une incarcération, ou des deux, en vertu de la Section 1001 du Titre 18 du Code des Etats-Unis et que de telles déclarations volontairement fausses risquent de compromettre la validité de la demande de brevet ou du brevet délivré à partir de celle-ci.

I hereby claim foreign priority under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Priority claimed  
Droit de priorité revendiqué

February 10, 2004	●	○
(Day/Month/Year Filed)	Yes	No
(Jour/Mois/Année de dépôt)	Oui	Non
	○	○
(Day/Month/Year Filed)	Yes	No
(Jour/Mois/Année de dépôt)	Oui	Non
	○	○
(Day/Month/Year Filed)	Yes	No
(Jour/Mois/Année de dépôt)	Oui	Non

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application :

(Statut) (Status)  
(Breveté, en attente, annulé) (Patented, pending, abandoned)

(Statut) (Status)  
(Breveté, en attente, annulé) (Patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issued thereon.

**French Language Declaration**

**POUVOIRS :** En tant que l'inventeur cité, je désigne par la présente l'(les) avocat(s) et/ou agent(s) suivant(s) pour qu'il(s) poursuive(nt) la procédure de cette demande de brevet et traite(nt) toute affaire avec le Bureau des brevets et marques s'y rapportant.

(mentionner le nom et le numéro d'enregistrement)

**POWER OF ATTORNEY :** As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and trademark Office connected there with.

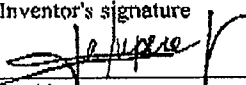
(list name and registration number)

Adresser toute correspondance à :

the registrants of Knobbe, Martens, Olson & Bear, 2040 Main Street, 14th Floor, Irvine, CA 92614. Telephone: (949) 760-0404, Cust. No. 20,995

Adresser tout appel téléphonique à :  
(nom et numéro de téléphone)


Direct Telephone Calls to :  
(name and telephone number)

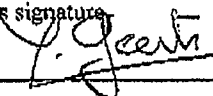
Nom complet de l'unique ou premier inventeur	Full name of sole or first inventor		
	DE CUPERE Vinciane		
Signature de l'inventeur	Date	Inventor's signature	Date
			05/05/2007
Domicile	Residence		
	Rue du Tienne 12a B-1460 COURT-SAINT-ETIENNE BELGIUM		
Nationalité	Citizenship		
	Belgian		
Adresse postale	Post Office Address		
	Rue du Tienne 12a B-1460 COURT-SAINT-ETIENNE BELGIUM		

(Fournir les mêmes renseignements et la signature de tout co-inventeur supplémentaire)

(Supply similar information and signature for any subsequent joint inventor)



Nom complet du second co-inventeur, le cas échéant		Full name of second joint inventor, if any <b>TANT Julien</b>	
Signature du second inventeur	Date	Second inventor's signature 	Date <b>02/05/2007</b>
Domicile		Residence <b>Avenue des Ménestrels 111 B-1080 Brussels BELGIUM</b>	
Nationalité		Citizenship <b>Belgian</b>	
Adresse postale		Post Office Address <b>Avenue des Ménestrels 111 B-1080 Brussels BELGIUM</b>	

Nom complet du troisième co-inventeur, le cas échéant		Full name of third joint inventor, if any <b>GEERTS Yves</b>	
Signature du troisième inventeur	Date	Third inventor's signature 	Date <b>June 29, 2006</b>
Domicile		Residence <b>Avenue de l'Eglise St Julien 10a B-1160 BRUSSELS BELGIUM</b>	
Nationalité		Citizenship <b>Belgian</b>	
Adresse postale		Post Office Address <b>Avenue de l'Eglise St Julien 10a B-1160 BRUSSELS BELGIUM</b>	